

Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) EP 0 821 317 A2

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:
28.01.1998 Bulletin 1998/05

(51) Int Cl.⁶: G06K 7/14

(21) Application number: 97305266.5

(22) Date of filing: 15.07.1997

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC
NL PT SE
Designated Extension States:
AL LT LV RO SI

(72) Inventors:
• Boie, Robert Albert
Westfield, New Jersey 07090 (US)
• Turin, William
East Brunswick, New Jersey 08816 (US)

(30) Priority: 24.07.1996 US 687124

(74) Representative:
Watts, Christopher Malcolm Kelway, Dr. et al
Lucent Technologies (UK) Ltd,
5 Mornington Road
Woodford Green Essex, IG8 0TU (GB)

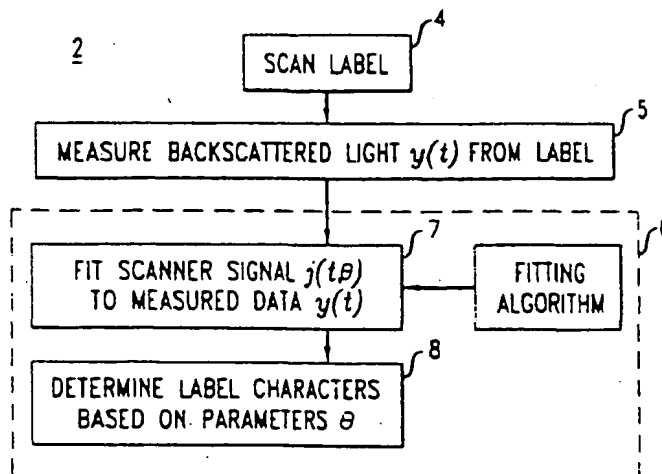
(71) Applicant: LUCENT TECHNOLOGIES INC.
Murray Hill, New Jersey 07974-0636 (US)

(54) Method and system for decoding distorted image and symbology data

(57) Disclosed is a system and method for reading and decoding distorted data, e.g., bar code label or other symbology data, by fitting a scanned signal model $j(t, \theta)$, using a Deterministic Expectation-Maximization (DEM) algorithm, to the measured or detected data signal $y(t)$. The DEM algorithm, which is a novel variation of the conventional Expectation-Maximization (EM) algorithm, enables the system and method according to the invention to determine the values of a plurality of parameters θ defining the scanned signal model $j(t, \theta)$ as it is being fitted to the measured data signal $y(t)$.

Based on these values, characteristic information such as data elements or symbols encoded within the image data are generated using conventional signal processing techniques. The use of the DEM algorithm advantageously deblurs the signal by reducing the effects of intersymbol interference. In an alternative embodiment, the DEM algorithm is supplemented by a conventional zero crossings decoding technique. In this manner, the zero crossings technique is used to obtain initial parameter estimates for the DEM algorithm in determining the parameters θ of the scanned signal model $j(t, \theta)$.

FIG. 1



Description**Background of the Invention****1. Field of the Invention**

The invention relates to methods and systems for processing distorted image and symbology data. More particularly, the invention relates to methods and systems for reading, decoding and recovering bar code symbols and other symbology data from representative signals.

2. Description of the Related Art

The use of symbology data is becoming increasingly prevalent in our society. For example, a particular bar coding arrangement known as the Universal Product Code (UPC) has been established as the industry standard for grocery, food processing and other retail industries.

Generally, bar code labels use vertically aligned, alternating stripes of two colors, typically light absorbing, usually black, printed "bars" and light scattering (diffusely reflecting) "white" spaces. The label data is encoded in the relative widths of the alternating bars and spaces. Such relative width encoding allows label reading to be relatively insensitive to geometric effects such as label size, reading distance and to a certain extent, label orientation.

Label reading systems typically involve an optical scanner, a photodetector and associated signal processing (e.g., decoding) hardware and/or software. The scanner, which often includes a laser or other suitable light source, is modified optically (e.g., via a lens or lens system) to project a bright spot of light, having a certain size at a prescribed distance, that is moved across the bar code label. The photodetector, positioned approximately coaxial with the light source, measures the time-varying intensity of light backscattered from the label and converts it to a corresponding time-varying electrical signal current.

The system's signal processing then converts the time-varying electrical signal, which is an analog waveform, to a pulse width modulated digital signal. The digital signal is then transformed to a binary representation of the data, i.e., a sequence of numbers describing the widths of the alternating stripes of the bar code. The label data is interpreted therefrom using any one of a number of conventional decoding techniques.

The overall ability to read coded labels depends on the dimensions of the projected light spot relative to the size of the bars. Also, the intensity of the projected spot relative to the bar affects the system's ability to read because substantial noise sources are present. Laser power is limited by eye safety considerations and thus provides an upper limit on available signal intensity. It also provides a limit on the size of the field of view within which labels are successfully read.

Also, the finite size of the projected light spot introduces corresponding blurring in the bar code image. Such blurring includes intersymbol interference (also called convolution interference), which is the effect of light backscattered from bars adjacent to the bar of interest.

Conventional reading methods, which typically are based on linear filtering and/or feature detection, work well in reading bar code data if the blurring is small relative to the size of the bars. In such cases, reading performance is limited primarily by the signal-to-noise ratio. Yet, as relative blurring increases when the label moves further from focus, reading errors occur that are independent of the signal-to-noise ratio. Thus, in these cases, increasing the detected signal does not necessarily reduce such reading errors.

Conventional reading methods are limited effectively to cases in which blurring caused by intersymbol interference is small relative to the size of the label bars. In cases where the blurring is much more significant, conventional reading methods do not account for the increased impact that intersymbol interference blurring has on the overall reading accuracy of the system.

It is desirable to have available a system and method for reading data such as bar code data or other symbology data (e.g., scanned image data and optical character recognition data) that improve upon the deterministic distortions discussed above.

Particularly, a system and method are desired that reduce intersymbol interference and other types of blurring that are independent of signal-to-noise ratio.

Summary of the Invention

A method and system according to the invention are as set out in the independent claims, preferred forms of the method being set out in the dependent claims. In preferred forms of the system, the receiver is adapted to carry out said preferred forms of the method.

The invention is embodied in a system and method for reading and decoding distorted data, e.g., bar code label

data, facsimile and other scanned image data having distortions therein. In particular, it is embodied in a system and method that decodes data such as bar code label data by fitting a scanned signal model $j(t, \Theta)$, using a Deterministic Expectation-Maximization (DEM) algorithm, to a measured or detected data signal $y(t)$. The DEM algorithm, which is a novel variation of the conventional Expectation-Maximization (EM) algorithm, enables the inventive system and method to determine the values of a plurality of parameters Θ defining the scanned signal model $j(t, \Theta)$ as it is being fitted to the measured data signal $y(t)$. Based on these values, characteristic information such as data elements or symbols encoded within the image data are generated using conventional signal processing techniques. The use of the DEM algorithm advantageously deblurs the signal by reducing the effects of intersymbol interference. In an alternative embodiment, the DEM algorithm is supplemented by a conventional zero crossings decoding technique. In this manner, the zero crossings technique is used to obtain initial parameter estimates for the DEM algorithm in determining the parameters Θ of the scanned signal model $j(t, \Theta)$.

Brief Description of the Drawings

In the drawings:

FIG. 1 is a simplified block diagram of a data label reading method in which aspects of the invention find application; FIG. 2a is a schematic diagram of a bar code reading system in which aspects of the invention find application; FIG. 2b is a schematic diagram of a pulse train output from the bar code reading system of FIG. 2a; FIG. 3 is a detailed view of bar code scanner light as it relates to a portion of a bar code label; FIG. 4 is a graphical diagram of the measured data signal $y(t)$ and the scanned signal model $j(t, \Theta)$ fitted thereto using a conventional decoding technique; FIG. 5 is a graphical diagram of the measured data signal $y(t)$ and the scanned signal model $j(t, \Theta)$ fitted thereto using a conventional zero crossing processing technique; FIG. 6 is a graphical diagram of the measured data signal $y(t)$ and the scanned signal model $j(t, \Theta)$ fitted thereto according to an embodiment of the invention; and FIG. 7 is a graphical diagram of the measured data signal $y(t)$ and the scanned signal model $j(t, \Theta)$ fitted thereto according to an alternative embodiment of the invention.

Detailed Description

In the following description similar components are referred to by the same reference numeral for clarity and consistency among the drawings.

The invention is described primarily in terms of a particular class of embodiment, namely, bar code readers and methods of reading bar code labels. However, the invention is not thus limited, as will be appreciated by those skilled in the art.

FIG. 1 illustrates, in simplified block diagram form, the inventive data label reading method 2 described herein. The first step 4 of the method is to scan a label using an appropriate scanner. The label is, e.g., a bar code label, scanned image data or other symbology data potentially having distortion therein. As discussed previously, scanning the label involves moving a projected spot of light across the label. The light backscattered from the label is indicative of the label's pattern of bars and spaces.

The next step 5 in the method is to measure the light $y(t)$ backscattered from the label using a photodetector that generates an electrical signal based on the intensity of the measured light. The backscattered light varies over time as the projected light from the scanner moves across the label.

Once the measured data signal $y(t)$ has been captured, characteristic information in the measured data signal $y(t)$ is determined using a decoding step 6. For example, in the case of bar code labels, such determination includes decoding the symbology data encoded in the label data as interpreted from the measured data signal $y(t)$. As mentioned previously, conventional decoding steps are based on linear filtering or data features within the measured data signal $y(t)$.

However, the inventive method described herein decodes the measured data signal $y(t)$ by fitting a scanned signal model $j(t, \Theta)$ to the measured data signal $y(t)$ (shown generally as step 7) and then (in step 8) interpreting the encoded label data from the scanned signal model $j(t, \Theta)$.

The scanned signal model $j(t, \Theta)$ is a model based on a typical or ideal measured label signal. The scanned signal model $j(t, \Theta)$ has a plurality of variable parameters Θ that varies the accuracy of the fit of the scanned signal model $j(t, \Theta)$ to the actual measured data signal $y(t)$. Also, the accuracy with which the scanned signal model $j(t, \Theta)$ fits the actual measured data signal $y(t)$ depends on, e.g., the use of a fitting algorithm (shown generally as 7a).

Once the scanned signal model $j(t, \Theta)$ has been fitted appropriately to the actual measured data signal $y(t)$, the final portion (step 8) of the decoding step 6 is to interpret the label data based on the values of the parameters Θ used

in fitting the model to the scanned signal model $j(t, \theta)$ to the measured data signal $y(t)$.

Referring now to FIGs. 2-3, a schematic diagram of a data reading system 10, e.g., a bar code reading system, is shown. As discussed previously, an optical scanner (not shown) projects a bright spot 12 of light that moves across (shown generally as 14) a label 16 of interest, e.g., a bar code label or other symbology data label such as printed characters, symbols or graphical information. A photodetector 22, with light collection lens 11, measures the time-varying intensity of backscattered light from label 16 and converts it to a corresponding time-varying measured or detected data signal $y(t)$, e.g., an electrical signal current $i(t)$. Then, as will be discussed in greater detail later, a bar code scanner or receiver 24 reads and processes the time-varying measured data signal $y(t)$ to output a rectangular pulse train (shown generally as 26 in FIG. 2b) representative of label 16. From pulse train 26, the data elements or symbols encoded in the bar code label are determined in a conventional manner.

At a given point in time during reading of label 16 by photodetector 22, the measured data signal, $y(t)$, is the sum of elemental contributions of individual light intensities characterized by a point spread function $h(\xi, \eta, a, b, c)$ of light reflected from the point (ξ, η) if beam spot 12 is centered at $(a, b, 0)$ and the beam focal region is at a distance c above label 16. Thus:

$$y(t) = \iint_A h(\xi, \eta, a, b, c) m(\xi, \eta) d\xi d\eta$$

where a , b and c are functions of time and where $m(\xi, \eta)$ is the printed source image. The point spread function $h(\xi, \eta, a, b, c)$ is assumed to be symmetrical and typically is modeled by a conventional Gaussian distribution density:

$$h(\xi, \eta, a, b, c) = ke^{-\frac{(\xi-a)^2 + (\eta-b)^2}{2\sigma(c)^2}}$$

where $\sigma(c)$ is proportional to the beam width and (a, b) are the coordinates of the beam center. By proper translation and scaling, and without loss of generality, the functions are assumed to be probability density functions. Thus, it is desirable to solve the inverse problem, i.e., to restore the undistorted image $m(\xi, \eta)$ from its distorted, scanned image, e.g., measured data signal $y(t)$.

In the case of bar code data, assuming, e.g., constant velocity of beam movement across label 16 and a sufficiently large label 16 relative to beam spot 12, the measured data signal $y(t)$ is modeled by receiver 24 as:

$$j(t, \theta) = \int_{-\infty}^{\infty} \phi(t - x, \sigma) s(x, \lambda) dx$$

where $s(x, \lambda)$ is a general form of the input signal to be obtained from the measured data signal $y(t)$, $\theta = \{\sigma, \lambda\}$ is a parametric vector to be recovered from the measured data signal $y(t)$, and $\phi(t, \sigma)$ is a Gaussian impulse response of backscattered light having the form:

$$\phi(t, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{t^2}{2\sigma^2}}$$

where σ is the point spread value, which is characteristic of the point spread function of the light spot 12 in the decoding system 10.

If a_1, a_2, \dots, a_n is a binary representation of a bar code and λ is a plurality of parameters including, e.g., $a_1, a_2, a_n, \dots, t_1$ and T , the general form input signal $s(x, \lambda)$ obtained from the measured data signal $y(t)$ is defined as:

$$s(x, \lambda) = \frac{1}{T} \sum_{k=1}^n a_k p_T(x - t_k), \quad t_k = t_1 + (k-1)T$$

where $p_T(x)$ is a rectangular pulse of width T corresponding to a bar in which $p_T(x) = 1$ if $|x| \leq 0.5T$, otherwise $p_T(x) = 0$. From these equations, it is seen that the measured data signal $y(t)$ can be modeled linearly.

Further derivation of the model $j(t, \theta)$ yields, generally:

$$j(t, \theta) = \sum_{k=1}^n a_k u(t - t_k, T, \sigma)$$

where:

$$u(t, T, \sigma) = \frac{1}{T} \int_{-0.5T}^{0.5T} \phi(t - x, \sigma) dx$$

As shown generally in FIGs. 2-3, the scanned signal model $j(t, \theta)$ is used to generate pulse train 26 representative of label 16 from detected data signal $y(t)$ received by photodetector 22 and processed by receiver 24. Such is accomplished by determining coefficients a_1, a_2, \dots, a_n of detected data signal $y(t)$ by fitting scanned signal model $j(t, \theta)$ to detected data signal $y(t)$.

Conventionally, in fitting the scanner model $j(t, \theta)$ to the detected data signal $y(t)$, signal processing methods of bar code reading systems assume that the relative blurring caused by light backscattered from adjacent bars of label 16 is insignificant. That is, a blur factor (σ / T) indicative of the relative point spread of light compared to the width of the label bars conventionally is assumed to be relatively small. In using such assumption, $j(t_k, \theta) \approx a_k u(t - t_k, T, \sigma)$ and conventional bar code recovery is accomplished, e.g., by sampling $j(t, \theta)$ at moments t_k or by using intersections of $u(t - t_k, T, \sigma)$ with its mean value, or by using zero crossings of its second derivative.

However, intersymbol interference typically is not insignificant. Still, conventional bar code reading methods and systems fail to take its effects into account. That is, the contribution or effect of light backscattered from adjacent "bar" or "space" elements $a_k u(t - t_{k+i}, T, \sigma)$ and $a_{k+i} u(t - t_{k+i}, T, \sigma)$ for $i = 1, 2, \dots$ on the overall decoding accuracy is not considered by conventional bar code reading systems. Additionally, other sources of interference and noise provide distortion to the measured data signal $y(t)$.

To fit the scanned signal model $j(t, \theta)$ to the measured data signal $y(t)$ in the face of this blurring and other distortion, an optimization criterion is needed. An embodiment of the invention uses a deterministic expectation-maximization (DEM) algorithm to fit the scanned signal model $j(t, \theta)$ to the measured data signal $y(t)$.

The DEM algorithm is a novel generalization of the expectation-maximization (EM) algorithm, which is a conventional iterative method useful for finding maximum likelihood estimates of statistical model parameters from experimental data. Advantageously, the DEM algorithm is much more general and has more applicability than the EM algorithm.

Being more general than the conventional EM algorithm, the DEM algorithm provides solutions for what are known as positive inverse problems of finding parameters of non-linear models by fitting them to other models. Special cases of these problems include, e.g., approximation of a non-negative function with a parametric family of probability density functions, solving systems of non-linear equations, and solving systems of non-linear integral equations.

Positive inverse problems are formulated, for example, in a manner of recovering an object $s(x, \lambda)$ from a parametric family of objects from its measured data signal $y(t)$ having distorted image data, if the distortion can be modeled as:

$$j(t, \theta) = \int_A s(x, \lambda) h(x, t, \mu) dx$$

where $h(x, t, \mu)$ is the point spread function, x is an n dimensional vector, t is an m dimensional vector, and parameter $\theta = (\lambda, \mu)$ is also a multidimensional vector. In particular, if x and t are two-dimensional vectors ($x, t \in R^2$), then the model $j(t, \theta)$, which in data reading applications is referred to as the scanned signal model or the image distortion model, is interpreted as a distorted image of a planar object $s(x, \lambda)$.

If parameter θ is known, $s(x, \lambda)$ is capable of being found as a solution of the previous integral equation. However, in the majority of practical cases, the parameter θ is not known. Therefore, solutions are obtainable by minimizing the divergence between the measured data signal $y(t)$ having distorted image data and its accompanying model $j(t, \theta)$, e.

g., by minimizing the Kullback-Leibler divergence:

$$K(y||j_\theta) = \int y(t) \log \frac{y(t)}{j(t, \theta)} dt$$

between the measured data signal $y(t)$ and its model $j(t, \theta)$. The Kullback-Leibler divergence is known to those skilled in the mathematical arts and is described, e.g., in the book S. Kullback, *Information Theory and Statistics*, John Wiley & Sons, New York, 1959.

Without loss of generality, $y(t)$ and $j(t, \theta)$ are assumed to be probability density functions. Since $y(t)$ does not depend on θ , minimization of $K(y||j_\theta)$ is equivalent to the maximization of the mean log-likelihood function:

$$L(y||j_\theta) = \int y(t) \log j(t, \theta) dt$$

The solution of the inverse problem is determined by $\hat{\theta} = \arg \max L(y||j_\theta)$. Although this equation typically is difficult to solve directly, the DEM algorithm is useful for finding the maximum of the function iteratively. More specifically, the DEM algorithm is based on presenting $L(\theta)$ in the decomposed form $L(\theta) = Q(\theta, \theta_p) - H(\theta, \theta_p)$, where $Q(\theta, \theta_p)$ and $H(\theta, \theta_p)$ are auxiliary functions of the observed data y . If θ_{p+1} can be found such that $Q(\theta_{p+1}, \theta_p) > Q(\theta_p, \theta_p)$ and $H(\theta_{p+1}, \theta_p) \leq H(\theta_p, \theta_p)$, then $L(\theta_{p+1}) > L(\theta_p)$. In this manner, a sequence $\theta_1, \theta_2, \dots, \theta_p$ is created that increases $L(\theta)$ monotonically. The sequence converges to a maximum of $L(\theta)$ if the functions and their defined region satisfy very mild regularity conditions, which are known to those skilled in the art and are found, e.g., in the book W. I. Zangwill, *Nonlinear Programming: A Unified Approach*, Prentice Hall, Englewood Cliffs, NJ, 1969, pp. 91-92.

If $H(\theta_p, \theta_p)$ is a global maximum of $H(\theta, \theta_p)$, then $L(\theta)$ increases only if $Q(\theta, \theta_p)$ increases. Thus, the DEM algorithm:

$$\theta_{p+1} = \arg \max Q(\theta, \theta_p)$$

monotonically increases $L(\theta)$ and finds its maximum under mild regularity conditions.

The auxiliary functions $Q(\theta, \theta_p)$ and $H(\theta, \theta_p)$ are not uniquely identified. Their selection is based on the simplicity of finding a maximum of $Q(\theta, \theta_p)$, which directly affects the algorithm convergence rate. The auxiliary functions is found, e.g., by:

$$\log j(x, \theta) = \log q(z, \theta) - \log p(z, \theta)$$

where $z = (s, x)$ is an augmented variable, $p(z, \theta)$ is its probability density, and $q(z, \theta) = p(z, \theta) j(t, \theta)$. Then, the auxiliary functions are constructed as:

$$Q(\theta, \theta_p) = \int \int \log \{q(z, \theta)\} p(z, \theta_p) y(x) ds$$

$$H(\theta, \theta_p) = \int \int \log \{p(z, \theta)\} p(z, \theta_p) y(x) ds$$

Since $H(\theta, \theta_p)$ attains its global maximum at $\theta = \theta_p$, the DEM algorithm is applied to find a maximum of $L(y||j_\theta)$ iteratively.

Alternatively, since a maximum of $L(\theta)$ is a minimum of $-L(\theta)$, the DEM algorithm is useful for finding a minimum. Therefore, the DEM algorithm is adaptable to applications where a minimum rather than a maximum is sought.

The conventional EM algorithm is one specific application of the DEM algorithm. Specifically, when there are ob-

servations x_1, x_2, \dots, x_N of a random, m dimensional variable x with the probability density function $j(t, \theta)$, the EM algorithm is obtained from the DEM algorithm when $y(t)$ is defined as:

$$y(t) = \sum_{k=1}^N \delta(t - x_k) / N$$

where $\delta(t - x_k)$ is the Dirac delta-function, which is known in mathematical physics and applied mathematics.

When the DEM algorithm is used in, e.g., bar code reading methods and systems, the auxiliary function $Q(\theta, \theta_p)$ is expressed, e.g., as:

$$Q(\theta, \theta_p) = \int \int \log \{ \phi(t - x, \sigma) s(x) \} w(t, x, \theta_p) dt dx$$

where $w(t, x, \theta_p) = \phi(t - x, \sigma) s_p(x) y(t) / j(t, \theta_p)$.

If the point spread value σ is known, the scanned signal model $j(t, \theta)$ is a linear function of $s(x)$ and it is not difficult for those skilled in the art to find a maximum of function $Q(\theta, \theta_p)$. The result is the following EM algorithm (i.e., a specific, "linear" version of the inventive DEM algorithm):

$$s_{p+1}(x) = \int w(t, x, \theta_p) dt = s_p(x) \int r(t, \theta_p) \phi(t - x, \sigma) dt$$

where $r(t, \theta) = y(t) / j(t, \theta_p)$. This particular algorithm was introduced by Vardi and Lee (e.g., see Y. Vardi and D. Lee, "From image deblurring to optimal investments: maximum likelihood solutions for positive linear inverse problems," *Journ. Royal Statist. Soc.*, Vol. 55, 1993, pp. 569-612) for solving general linear inverse problems (i.e., problems unrelated to recovering bar code symbols and other symbology data).

FIG. 4 illustrates the results of an application of the Vardi and Lee EM algorithm solving a linear inverse problem (using a known point spread value σ) after approximately 100 iterations. As shown, the transitions of the measured or received data signal $y(t)$ 32 (solid line) is moderately well modeled by a scanner model signal 34 (dashed line) fitted thereto. Furthermore, scanner model signal 34 depicts what would be a deblurred measured signal and outputs a partial rectangular pulse train useful by subsequent processing in decoding particular symbology characters therefrom.

However, the Vardi and Lee "linear" version of the algorithm provides no useful solution to non-linear inverse problems. That is, the Vardi and Lee algorithm is not helpful when the point spread value σ is unknown and, as mentioned previously, the point spread value σ usually is not known, because it depends on the scanner distance from the bars in the bar code label, which distance is a random variable.

When the point spread value σ is unknown, the problem becomes non-linear and, conventionally, a unique solution for $s(x)$ is not available. However, with the use of a data-characteristic model, e.g., $j(t, \theta)$, the invention obtains a unique solution for non-linear inverse problems by fitting the data-characteristic model to the collected data using the DEM algorithm and determining the data, i.e., solving for $s(x)$, based on the parameter values of the data-characteristic model.

Accordingly, for applications such as decoding bar code label data and other symbology data, the use of the scanned signal model $j(t, \theta)$ enables unique solutions to be obtained for the collected data. Although σ is still unknown and the inverse problem remains non-linear, the number of parameters needed to express the data-characteristic model is limited sufficiently so that unique solutions are available for the collected data when the data-characteristic model is fitted thereto.

Thus, a form of the DEM algorithm more general than the EM algorithm is useful for solving non-linear inverse problems. Specifically, the DEM algorithm is obtained by maximizing the function $Q(\theta, \theta_p)$, where the parameters a, σ, t and T are defined as:

$$a_{k,p+1} = \frac{1}{T} \int_{t_k - 0.5T}^{t_k + 0.5T} s_p(x) dx$$

$$\sigma_{p+1}^2 = \int_{-\infty}^{\infty} \int_x (t-x)^2 w(t, x, \theta_p) dt dx$$

$$\{t_{1,p+1}, T_{p+1}\} = \arg \max \sum_{k=1}^n \log \left\{ \frac{a_{k,p+1}}{T} \right\} \int_{t_k - 0.5T}^{t_k + 0.5T} w(t, x, \theta_p) dt dx$$

Typically, $t_{1,p+1}$ and T_{p+1} are difficult to determine from these equations. However, by using an approximation $u(t - t_k, T, \sigma) = \phi(t - t_k, \sigma)$ and the relationship $t_k = t_1 + (k-1)T$, the inventive DEM algorithm is obtained by maximizing the function $Q(\theta, \theta_p)$, where the parameters $a_1, a_2, \dots, a_n, \sigma, t_1$ and T are defined as:

$$a_{k,p+1} = a_{k,p} v_{k,p}$$

$$\sigma_{p+1}^2 = \sum_{k=1}^n a_{k,p+1} \sigma_{k,p+1}^2$$

$$t_{1,p+1} = (MB_p - M_{1,p} A_p) / (B_p - A_p \frac{2}{\rho})$$

$$T_{p+1} = (M_{1,p} - A_p M) / (B_p - A_p \frac{2}{\rho})$$

where

$$A_p = \sum_{k=2}^n (k-1) a_{k,p+1}, \quad B_p = \sum_{k=2}^n (k-1) a_{k,p+1},$$

$$M = \int_{-\infty}^{\infty} t y(t) dt, \quad M_{1,p} = \sum_{k=2}^n (k-1) a_{k,p+1} t_{k,p+1}$$

$$v_{i,p} = \int_{-\infty}^{\infty} y(x) \phi(x - t_{i,p}, \sigma_{i,p}) / j(x; \theta_p) dx,$$

$$\sigma_{i,p+1}^2 = \frac{1}{v_{i,p}} \int_{-\infty}^{\infty} (x - t_{p,i})^2 y(x) \phi(x - t_{i,p}, \sigma_{i,p}) / j(x; \theta_p) dx$$

In an alternative embodiment of the invention, the use of the DEM algorithm as part of the bar code recovery method and system as described herein is supplemented by the use of conventional zero crossings determinations, which typically are used alone in some conventional bar code recovery methods. Specifically, the conventional zero crossings technique is used to determine the initial values (i.e., for $p = 0$) of the parameters $\theta_0 = \{t_{1,0}, T_0, \sigma_0, a_{1,0}, a_{2,0}, \dots, a_{n,0}\}$. If these parameter values are suitable for purposes of fitting the scanned signal model $j(t, \theta)$ to the received data signal $y(t)$ (i.e., if the recovered bar code from the scanned signal model fit is determined to be a proper bar code), then no further signal processing is needed. Otherwise, the initial zero crossings parameters $\theta_0 = \{t_{1,0}, T_0, \sigma_0, a_{1,0}, a_{2,0}, \dots, a_{n,0}\}$ are used only as initial parameter estimates for the DEM algorithm.

For example, FIG. 5 depicts a received data signal $y(t)$ 42 as compared to a corresponding scanner signal model fitted signal 44 that has used parameter values determined by a conventional zero crossings technique. In this specific case, a recovered bar code based on the scanner signal model fitted signal 44, as shown, would be deemed improper.

By comparison, FIG. 6 depicts a scanner signal model fitted signal 54 (after 40 iterations) to a received data signal $y(t)$ 52 using the DEM algorithm. Moreover, as shown in FIG. 7, when the zero crossings parameters $\theta_0 = \{t_{1,0}, T_0, \sigma_0, a_{1,0}, a_{2,0}, \dots, a_{n,0}\}$ are used as initial parameter estimates for the DEM algorithm, a scanner signal model fitted signal 64 satisfactorily models a received data signal $y(t)$ 62 after only 11 iterations. In such case, the use of the DEM algorithm in fitting the scanner signal model $j(t, \theta)$ to the received data signal $y(t)$ is improved (in terms of both accuracy and number of iterations required) when parameters determined by a zero crossings technique are used as the initial parameter estimates for the DEM algorithm.

It is to be understood that the DEM algorithm has many applications not discussed specifically herein but that are obvious to those skilled in the art. For example, the DEM algorithm is useful in the minimization of the number of states of hidden Markov models used in speech and handwriting recognition, the optimization of the structure of natural language models, and for communication channel blind equalization.

Thus, post-computational activity can be of a variety of types, but will generally be similar to corresponding prior art activity. Exemplarily, it includes, for example, the display of information (e.g., a price of the item having a bar code label) or a change in the state of a physical system (e.g., opening a security gate).

It will be apparent to those skilled in the art that other changes and substitutions can be made to the symbology data decoding method and system described herein without departing from the spirit and scope of the invention as defined by the appended claims and their full scope of equivalents.

Claims

1. A method for determining characteristic information from a signal, said method comprising the steps of:

providing (5) a measured data signal $y(t)$ representative of a plurality of input data capable of including distortions therein;

fitting (7) a scanned signal model $j(t, \theta)$ having a plurality of parameters θ to said measured data signal $y(t)$ by adjusting the values of at least one of said parameters θ , said scanned signal model $j(t, \theta)$ capable of having a nonlinear relationship to said plurality of parameters θ , said fitting step comprising using a DEM algorithm to determine the values of at least one of said plurality of parameters θ ; and

determining (8) said symbology information from said scanned signal model $j(t, \theta)$ based on the values of said parameters θ when said scanned signal model $j(t, \theta)$ is fitted to said measured data signal $y(t)$.

2. The method as recited in claim 1, wherein said DEM algorithm is:

$$\theta_{p+1} = \arg \max Q(\theta, \theta_p), \quad \text{for } p = 0, 1, \dots,$$

where $Q(\theta, \theta_p)$ is an auxiliary function of said parameters θ .

3. The method as recited in claim 2, wherein said fitting step uses said DEM algorithm to obtain an input signal $s(x)$

from said measured data signal $y(t)$, wherein:

$$Q(\theta, \theta_p) = \int \int \log\{\phi(t-x, \sigma) s(x)\} w(t, x, \theta_p) dt dx,$$

where $w(t, x, \theta_p) = \phi(t-x, \sigma) s_p(x) y(t) / j(t, \theta_p)$, and where $\phi(t, \sigma)$ is a Gaussian impulse response of backscattered light having the form:

$$\phi(t, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{t^2}{2\sigma^2}},$$

where σ is a point spread value.

4. The method as recited in claim 1, wherein said fitting step uses said DEM algorithm to obtain an input signal $s(x, \lambda)$ defined as:

$$s(x, \lambda) = \frac{1}{T} \sum_{k=1}^n a_k p_T(x - t_k),$$

from said measured data signal $y(t)$, said input signal $s(x, \lambda)$ having a plurality of parameters capable of being solved by said DEM algorithm, said plurality of parameters defined as:

$$a_{k,p+1} = a_{k,p} v_{k,p}$$

$$\sigma_{p+1}^2 = \sum_{k=1}^n a_{k,p+1} \sigma_{k,p+1}^2$$

$$t_{1,p+1} = (MB_p - M_{1,p} A_p) / (B_p - A_p \frac{2}{\rho})$$

$$T_{p+1} = (M_{1,p} - A_p M) / (B_p - A_p \frac{2}{\rho})$$

where

$$A_p = \sum_{k=2}^n (k-1) a_{k,p+1}, \quad B_p = \sum_{k=2}^n (k-1) a_{k,p+1}$$

$$M = \int_{-\infty}^{\infty} t y(t) dt, \quad M_{1,p} = \sum_{k=2}^n (k-1) a_{k,p+1} t_{k,p+1}$$

$$v_{i,p} = \int_{-\infty}^{\infty} y(x) \phi(x - t_{i,p}, \sigma_{i,p}) / j(x; \theta_p) dx$$

$$\sigma_{i,p+1}^2 = \frac{1}{v_{i,p}} \int_{-\infty}^{\infty} (x - t_{p,i})^2 y(x) \phi(x - t_{i,p}, \sigma_{i,p}) / j(x; \theta_p) dx.$$

5. The method as recited in claim 1, wherein said fitting step includes minimizing the Kullback-Leibler divergence defined as:

$$K(y \| j_\theta) = \int_{-\infty}^{\infty} y(t) \log \frac{y(t)}{j(t, \theta)} dt.$$

6. The method as recited in claim 1, wherein said fitting step includes maximizing a mean log-likelihood function defined as:

$$L(y \| j_\theta) = \int_{-\infty}^{\infty} y(t) \log j(t, \theta) dt.$$

7. The method as recited in claim 1, wherein said fitting step further comprises using zero crossings of said measured data signal $y(t)$ to determine initial values for at least one of said plurality of parameters θ , said initial parameter values usable by said DEM algorithm as initial estimates for said parameter values.

8. The method as recited in claim 1, wherein said determining step further comprises generating a pulse train corresponding to said scanned signal model $j(t, \theta)$ when fitted to said measured data signal $y(t)$ and identifying said characteristic information based on said pulse train.

9. The method as recited in claim 1, wherein said scanned signal model is defined as:

$$j(t, \theta) = \int_{-\infty}^{\infty} \phi(t - x, \sigma) s(x, \lambda) dx$$

where $s(x, \lambda)$ is an input signal obtainable from said measured data signal $y(t)$, $\theta = \{\sigma, \lambda\}$ is a parametric vector recoverable from said measured data signal $y(t)$, and where $\phi(t, \sigma)$ is a Gaussian impulse response of backscattered light having the form:

$$\phi(t, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{t^2}{2\sigma^2}}$$

where σ is a point spread value.

10. The method as recited in claim 1, wherein said scanner model is defined as:

$$j(t, \theta) = \sum_{k=1}^n a_k u(t - t_k, T, \sigma),$$

where:

$$u(t, T, \sigma) = \frac{1}{T} \int_{-0.5T}^{0.5T} \phi(t - x, \sigma) dx$$

and where $\phi(t, \sigma)$ is a Gaussian impulse response of backscattered light having the form:

$$\phi(t, \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{t^2}{2\sigma^2}},$$

and where σ is a point spread value.

11. A system for reading bar code labels, said bar code labels having at least one data character encoded therein, said bar code label said system comprising:

a photodetector (22) for collecting light backscattered from a bar code label, said backscattered light corresponding to said bar code label; and
a receiver (24) in operable connection with said photodetector for converting said backscattered light into a time-varying measured data signal $y(t)$, fitting a scanned signal model $j(t, \theta)$ having a plurality of parameters θ to said measured data signal $y(t)$ using the DEM algorithm, and determining said data characters encoded in said bar code label from said scanned signal model $j(t, \theta)$ based on the values of said parameters θ when said scanned signal model $j(t, \theta)$ is fitted to said measured data signal $y(t)$.

FIG. 1

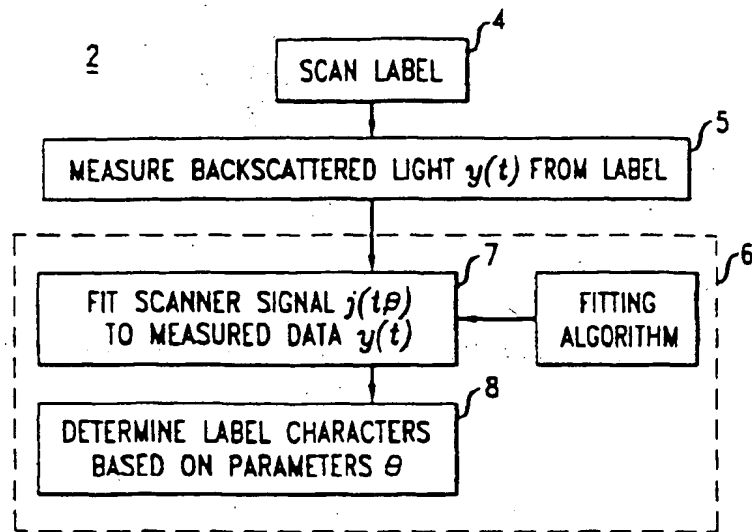


FIG. 2A

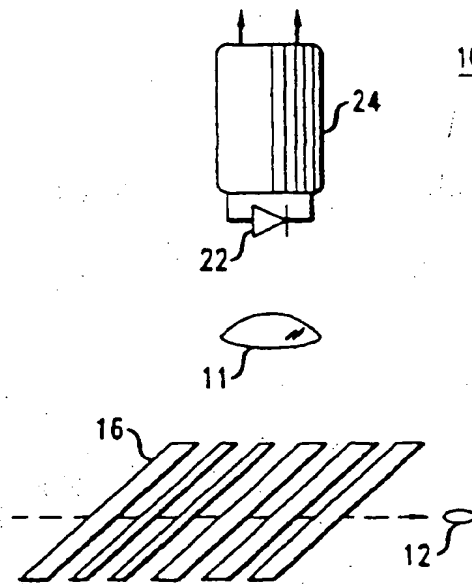


FIG. 2B

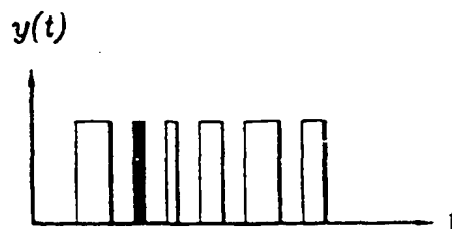


FIG. 3

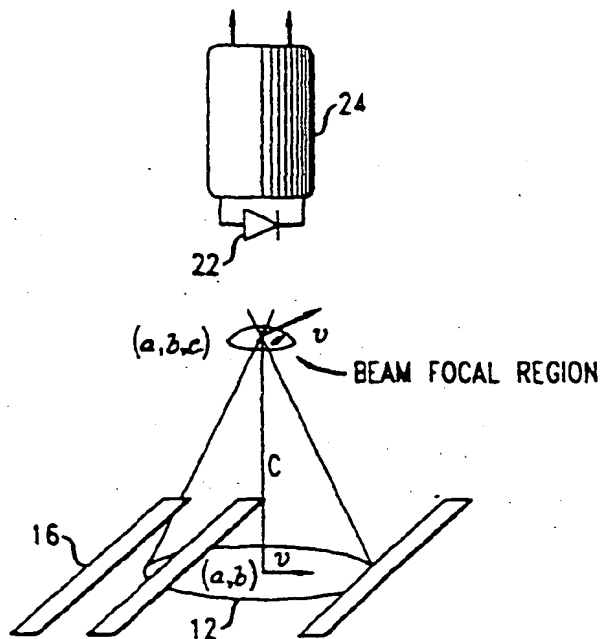


FIG. 4

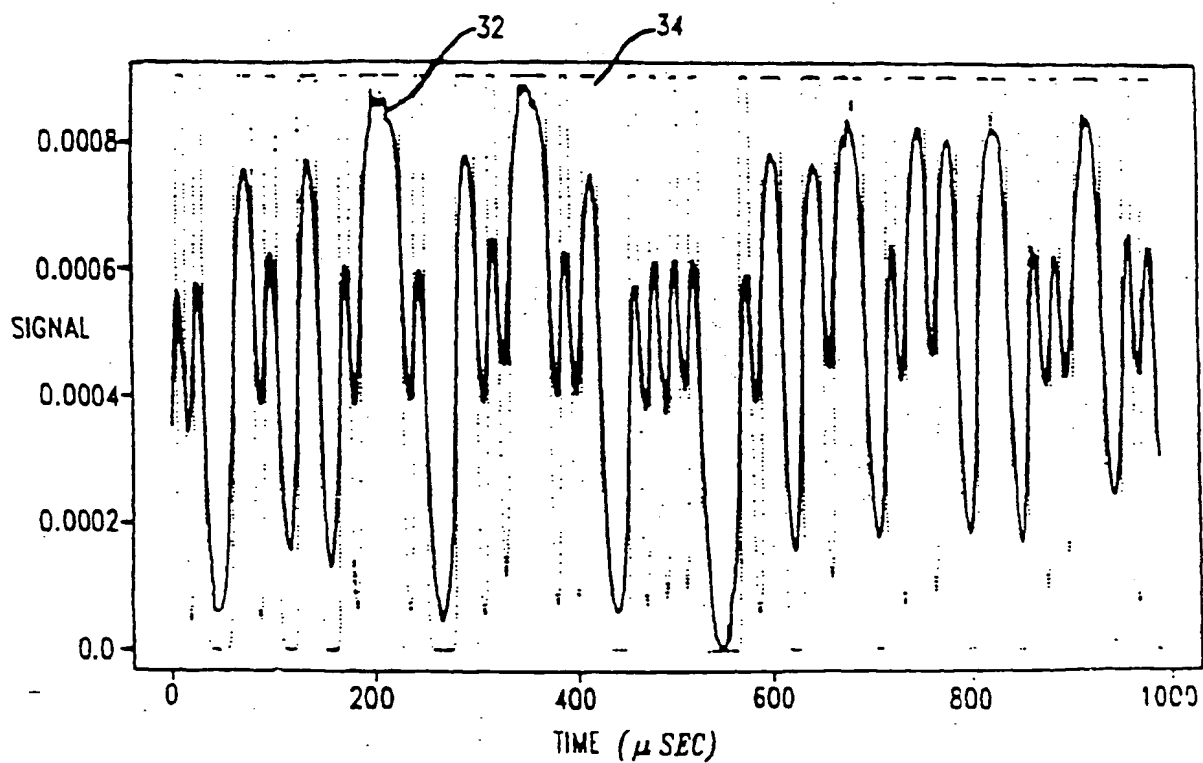


FIG. 5

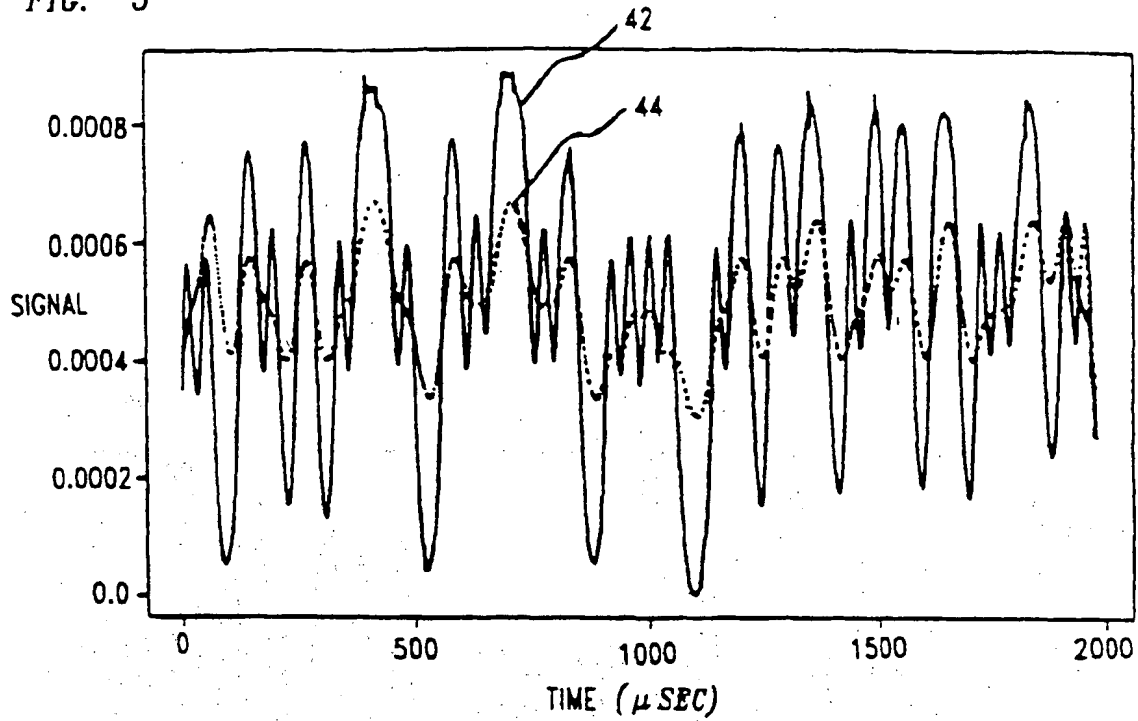


FIG. 6

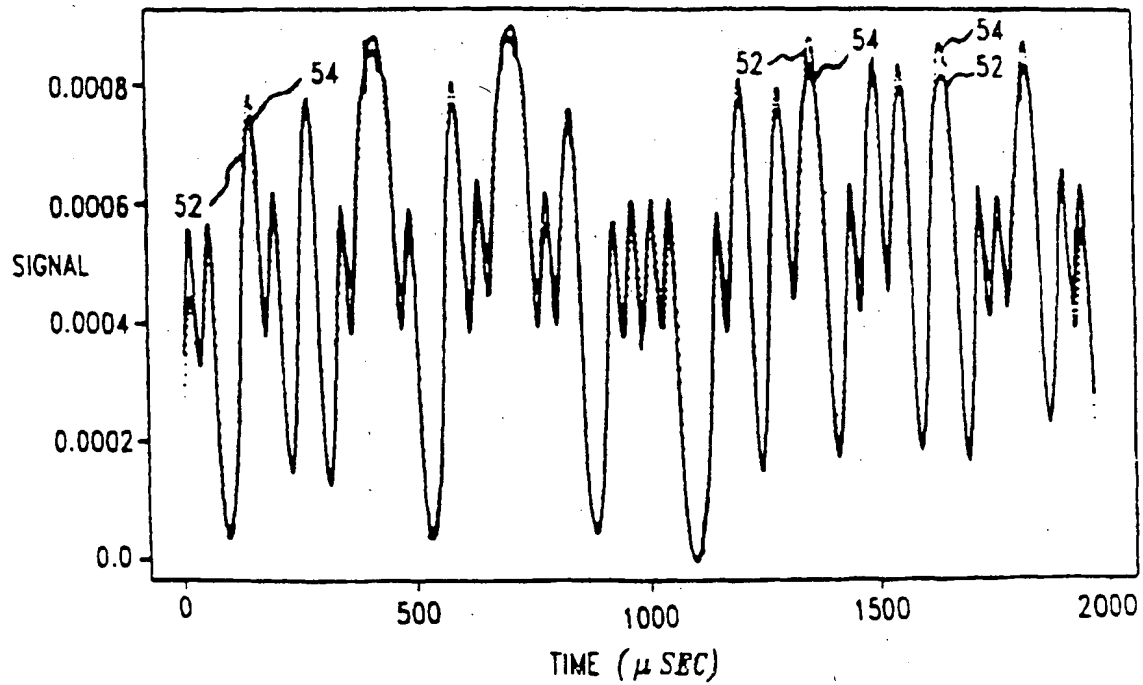
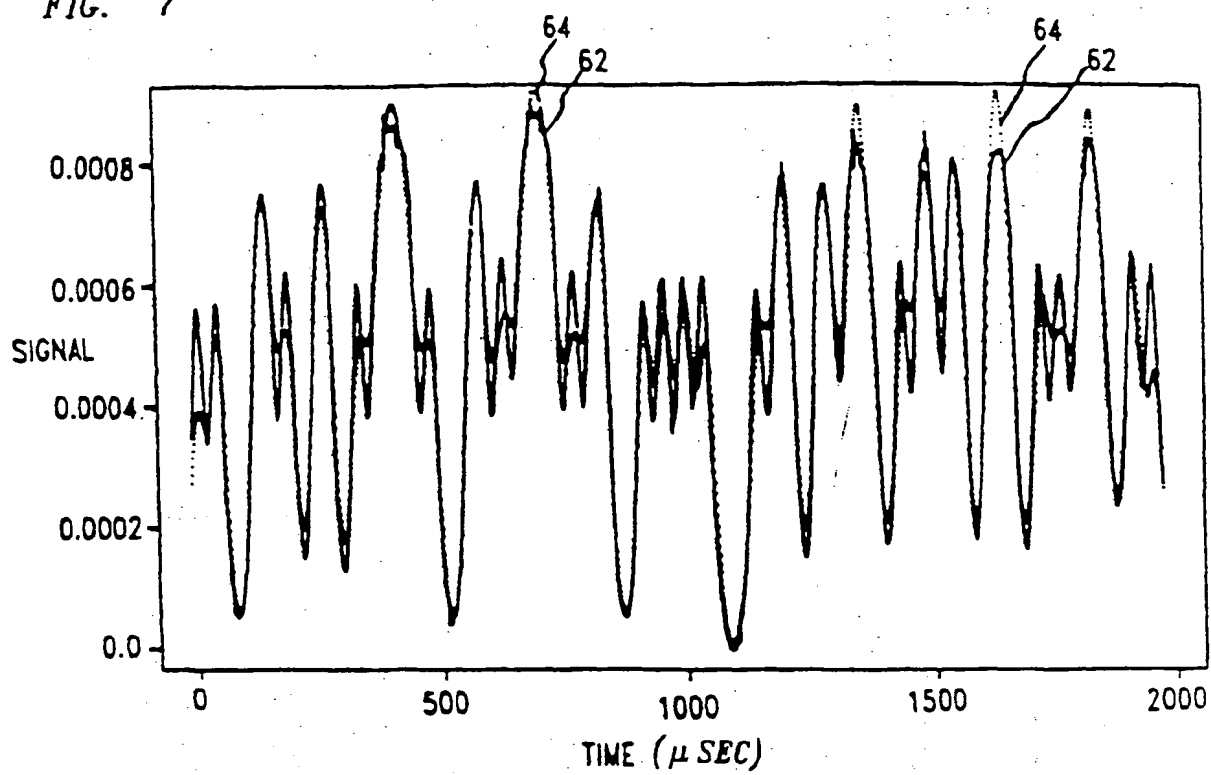
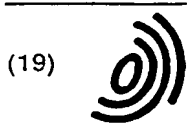


FIG. 7





Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 0 821 317 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
08.11.2000 Bulletin 2000/45

(51) Int Cl.7: G06K 7/14, G06K 7/10

(43) Date of publication A2:
28.01.1998 Bulletin 1998/05

(21) Application number: 97305266.5

(22) Date of filing: 15.07.1997

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC
NL PT SE
Designated Extension States:
AL LT LV RO SI

(72) Inventors:
• Boie, Robert Albert
Westfield, New Jersey 07090 (US)
• Turin, William
East Brunswick, New Jersey 08816 (US)

(30) Priority: 24.07.1996 US 687124

(71) Applicant: LUCENT TECHNOLOGIES INC.
Murray Hill, New Jersey 07974-0636 (US)

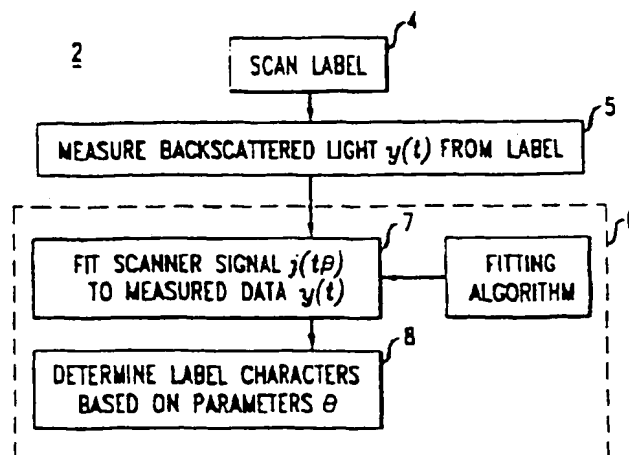
(74) Representative:
Watts, Christopher Malcolm Kelway, Dr. et al
Lucent Technologies (UK) Ltd,
5 Mornington Road
Woodford Green Essex, IG8 0TU (GB)

(54) Method and system for decoding distorted image and symbology data

(57) Disclosed is a system and method for reading and decoding distorted data, e.g., bar code label or other symbology data, by fitting a scanned signal model $j(t, \theta)$, using a Deterministic Expectation-Maximization (DEM) algorithm, to the measured or detected data signal $y(t)$. The DEM algorithm, which is a novel variation of the conventional Expectation-Maximization (EM) algorithm, enables the system and method according to the invention to determine the values of a plurality of parameters θ defining the scanned signal model $j(t, \theta)$ as it is being fitted to the measured data signal $y(t)$.

Based on these values, characteristic information such as data elements or symbols encoded within the image data are generated using conventional signal processing techniques. The use of the DEM algorithm advantageously deblurs the signal by reducing the effects of intersymbol interference. In an alternative embodiment, the DEM algorithm is supplemented by a conventional zero crossings decoding technique. In this manner, the zero crossings technique is used to obtain initial parameter estimates for the DEM algorithm in determining the parameters θ of the scanned signal model $j(t, \theta)$.

FIG. 1



EP 0 821 317 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 97 30 5266

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	EP 0 634 730 A (SICK OPTIK ELEKTRONIK ERWIN) 18 January 1995 (1995-01-18) * the whole document *	1, 11	G06K7/14 G06K7/10
X	ZHENGRONG LIANG ET AL: "PARAMETER ESTIMATION AND TISSUE SEGMENTATION FROM MULTISPECTRAL MR IMAGES", IEEE TRANSACTIONS ON MEDICAL IMAGING, US, IEEE INC. NEW YORK, VOL. 13, NR. 3, PAGE(S) 441-449 XP000474134 ISSN: 0278-0062 * abstract *	1	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			G05K
The present search report has been drawn up for all claims			
Place of search		Date of completion of the search	Examiner
THE HAGUE		21 September 2000	de Ronde, J.
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons G : member of the same patent family, corresponding document</p>			

EPO FORM 1303 03/82 (P/AC01)

ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.

EP 97 30 5266

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.
The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

21-09-2000

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0634730 A	18-01-1995	DE 4323293 A	19-01-1995
		JP 7152852 A	16-06-1995
		US 5569900 A	29-10-1996

EPO FORM P0458

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)